

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE  
(REV. 2-2005)TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

NGBCP007

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/506,453

INTERNATIONAL APPLICATION NO.  
PCT/JP03/02325INTERNATIONAL FILING DATE  
28 February 2003PRIORITY DATE CLAIMED  
1 March 2002

## TITLE OF INVENTION

IMAGE OUTPUT SYSTEM INCLUDING A PLURALITY OF UNITS CONNECTED BY COMMUNICATIONS WITH  
MASTER-SLAVE RELATION

## APPLICANT(S) FOR DO/EO/US

Masakatsu ENDO and Kenji SAKUDA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210).

## Items 13 to 23 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. EV649022555US
23. ☒ Other items or information:

Response to Missing Requirements, Copy of Form PCT/DO/EO/905, Check, Return Receipt Postcard

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO (if known, see 37 CFR 1.5) <b>10/506,453</b>		INTERNATIONAL APPLICATION NO. <b>PCT/JP03/02325</b>		ATTORNEY'S DOCKET NUMBER <b>NGBCP007</b>	
The following fees are submitted:				<b>CALCULATIONS</b>	<b>PTO USE</b>
24. <input type="checkbox"/> Basic national fee .....		<b>\$300</b>	\$	<b>\$0.00</b>	
25. <input type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) .....		<b>\$100</b>	\$	<b>\$0.00</b>	
All other situations. ....		<b>\$200</b>			
26. <input type="checkbox"/> Search fee .....					
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority .....		<b>\$100</b>			
International Search Report prepared and provided to the Office .....		<b>\$400</b>	\$	<b>\$0.00</b>	
All other situations. ....		<b>\$500</b>			
<b>TOTAL OF 24, 25 and 26 =</b>			\$	<b>\$0.00</b>	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE		
- 100 =	0 /50 =	0	x	\$	<b>\$0.00</b>
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	- 20 =	0	x \$18.00	\$	<b>\$0.00</b>
Independent claims	- 3 =	0	x \$86.00	\$	<b>\$0.00</b>
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> +				\$	<b>\$0.00</b>
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	<b>\$0.00</b>
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	<b>\$0.00</b>
<b>SUBTOTAL =</b>				\$	<b>\$0.00</b>
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	<b>\$130.00</b>
<b>TOTAL NATIONAL FEE =</b>				\$	<b>\$130.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$	<b>\$40.00</b>
<b>TOTAL FEES ENCLOSED =</b>				\$	<b>\$170.00</b>
				<b>Amount to be</b>	<b>\$</b>
				<b>Amount to be</b>	<b>\$</b>

06/13/2006; GFREY1 00000030 10506453

01 FC:161 130.00 OP

a. ☒ A check in the amount of \$ **\$170.00** to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.


c. ☒ The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0805/NGBC07. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

**Peter B. Martine**  
**Martine Penilla & Gencarella, LLP**  
**710 Lakeway Drive, Suite 200**  
**Sunnyvale, California 94085**  
**Phone: (408) 749-6902; Fax: (408) 749-6901**  
**CUSTOMER NO. 25920**

  
 SIGNATURE  
**Peter B. Martine**  
 NAME  
**32,043**  
 REGISTRATION NUMBER

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of	)	
	)	Examiner: Not Assigned
Masakatsu ENDO et al.	)	
	)	Art Unit: Not Assigned
Application No. 10/506,453	)	
	)	Docket No. NGBCP007
Filed: August 31, 2004	)	
	)	Date: June 7, 2005
For: IMAGE OUTPUT SYSTEM INCLUDING	)	
A PLURALITY OF UNITS CONNECTED	)	
BY COMMUNICATIONS WITH	)	
MASTER-SLAVE RELATION	)	

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached herein are being deposited with the United States Postal Service on June 7, 2005 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, Mailing Label Number EV64902255US, addressed to the Commissioner for Patents, Mail Stop PCT, Designated/Elected Office (DO/EO/US), Alexandria, VA 22313-1450.

  
Diane Schwanbeck

RESPONSE TO NOTICE TO FILE MISSING REQUIREMENTS UNDER 35 U.S.C. 371  
IN THE UNITED STATES DESIGNATED/ELECTED OFFICE

Commissioner for Patents  
**Mail Stop: PCT (DO/EO/US)**  
Alexandria, VA 22313-1450

Sir:

In response to the Notice of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office dated April 7, 2005, Applicants hereby attach 1) a combined Declaration and Power of Attorney document, 2) the copy of the Notice to be returned with this response, and 3) an Assignment document for recording (including an Assignment Recordation Cover Sheet).

Also attached is our Check No. 14258 for \$170.00 in payment of the surcharge and Assignment Recordation fee. The Commissioner is authorized to charge any other fees that may be due to our Deposit Account No. 50-0805 (Order No. NGBCP007).

Respectfully submitted,  
MARTINE PENILLA & GENCARELLA, LLP



Peter B. Martine  
Reg. No. 32,043

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Sunnyvale, CA 94085  
Telephone: (408) 749-6900  
**Customer No. 25920**

Attorney Docket No. NGBCP007



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/506,453	Masakatsu Endo	NGBCP007

INTERNATIONAL APPLICATION NO.
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PCT/JP03/02325

I.A. FILING DATE	PRIORITY DATE
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02/28/2003

03/01/2002

25920  
 MARTINE PENILLA & GENCARELLA, LLP  
 710 LAKEWAY DRIVE  
 SUITE 200  
 SUNNYVALE, CA 94085

CONFIRMATION NO. 9994

371 FORMALITIES LETTER

\*OC000000015670644\*

\*OC000000015670644\*

Date Mailed: 04/07/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 08/31/2004
- Copy of the International Search Report filed on 08/31/2004
- Copy of references cited in ISR filed on 08/31/2004
- U.S. Basic National Fees filed on 08/31/2004
- Priority Documents filed on 08/31/2004

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions

of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DEBORAH D WILLIAMS

Telephone: (703) 308-9140 EXT 205

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/506,453	PCT/JP03/02325	NGBCP007

FORM PCT/DO/EO/905 (371 Formalities Notice)